

## 1 CORPORATE DETAILS

Company Name.	Principal Activity.	
Incorporation No. / Registration No.		
PIN No.		
Contact Person.	Position in Organisation.	
Office Tel No.		
Email.	Fax.	
Postal Address.	Postal Code.	City/Town.
Physical Address.		
Website.		

Fill in general partner information as contact person below

## 2 DIRECTORS / AUTHORISED SIGNATORIES\*

	Director 1	Director 2	Director 3
Title.			
First Name(s).			
Surname.			
ID/Passport.			
Date of Issue.			
Date of Expiry.			
DOB (dd/mm/yy).			
KRA PIN No.			
Tel No. (Office)			
Mobile No.			
Email Address.			
Postal Address.			
Post Code.			
City/Town.			
Physical Address/Road/Hse No.			
<b>SIGNATURE.</b>			

\* In case of more than three directors / authorised signatories, please attach details on a separate sheet.

## 3 ADDITIONAL INFORMATION\*

For unlimited partnerships:- Details of Partners. (In case of more than three partners, please attach details on a separate sheet.)

For body corporates:- Details of Individuals who have a direct or indirect interest amounting to 30% or more equity, please attach details on a separate sheet.

## 4 INVESTMENT AMOUNT

In Figures:-	In Words:-
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## 5 INVESTMENT ON BEHALF OF A THIRD PARTY (NOMINEE/TRUSTEE ACCOUNT)

TYPE OF ENTITY.	Trust. <input type="checkbox"/> Other Legal Entity. <input type="checkbox"/>		
	Signatory 1	Signatory 2	Signatory 3
Title.			
First Name(s).			
Surname.			
ID/Passport.			
DOB (dd/mm/yy).			
Tel No. (Office)			
Mobile No.			
Email Address.			
Postal Address.			
Post Code.			
City/Town.			
Physical Address/Street/Hse No.			
<b>SIGNATURE.</b>			



## 6 INVESTMENT DETAILS

- a** Currency: **Kenya Shilling**
- b** Minimum Investment Amount: **KES. 1,000,000**
- c** Initial Fee: **NIL**
- d** Withdrawals before the end of the period selected will attract a 3% penalty charge
- e** Expected Return  %

## 7 TENURE

- a** 3 Months
- b** 6 Months
- c** 12 Months
- d** 24 Months
- e** Other

## 8 FOREIGN ACCOUNT TAX COMPLIANCE (FATCA)

### For Citizens of the United States Only

- a** Are you holding a US Permanent Resident Card (Green Card)? Yes  No
- b** Were you born in the US? Yes  No
- c** Have you granted power of attorney to a person with a US address? Yes  No
- d** Do you have a correspondence or Hold mail address in the US? Yes  No
- e** Do you have a standing order to a US Bank Account? Yes  No
- f** Do you have a US Telephone Number? Yes  No

## 9 SOURCE OF FUNDS

Please attach documentary evidence, e.g. Payslip, contract of sale or bank statement or any other appropriate documentation)

- a** Salary/Employment
- b** Pension
- c** Rental/Property Sale
- d** Loan
- e** Sale of Investment Portfolio
- f** Gift/Inheritance
- g** Savings
- h** Betting/Lottery
- i** Business Income
- j** Other

## 10 ORIENT ASSET MANAGERS ACCOUNT DETAILS

Bank: **Stanbic Bank Kenya** Branch: **Chiromo** Currency: **KES**  
A/c Name: **Orient Asset Managers - Imara Fund** Account No: **0100009044846** Swift Code: **SBICKENX**

## 11 BANK DETAILS

Any change of bank details must be provided in writing, duly executed by authorised signatories. No payments will be made to third party bank accounts. Orient Asset Managers Ltd will not accept money outside the bank accounts listed in the application form.

Company Account Name.

Company Account Number.

Bank Name.

Branch.

## 12 SIGNING MANDATE

All instructions to OAM must be given by (tick where applicable):

- A** Either one can sign  **B** Two to sign jointly  **C** All must sign  **D** Single Signatory

## 13 CORPORATE DOCUMENT CHECKLIST

- a** Board resolution mandating investment of funds and authorised signatories.
- b** Certified copy of certificate of registration / incorporation
- c** Copy of ID for each Director
- d** Memorandum & Articles of Association or other applicable incorporation documents.
- e** Copy of the latest annual return submitted to the applicable register
- f** Copy of recent audited financial statements (not more than 2 financial years from date of application).
- g** For funds originating from outside Kenya, certified proof from clients bank confirming necessary due diligence has been conducted regarding nature of business of the remitting entity and source of funds.
- h** Proof of physical and postal address (certified copy of utility bill, rental lease, title deed or land rates bill)
- i** Certified copies of 3 month bank statements.
- j** Photocopy of PIN Certificate for company and all directors.
- k** If government institution, letter from accounting officer.
- l** Document to support signing mandate.
- m** Affix Company Seal Here.

## 14 DECLARATIONS

- a** I/We warrant that I am/we are duly authorised to apply for the OAM Umbrella Fund Unit Trust on terms and conditions set on in the Information Memorandum.
- b** I/We have read and understood the Information Memorandum the relevant Trust Deed for the particular OAM Umbrella Fund, the charges made by OAM and the terms and conditions of this application.
- c** I/We confirm that the units are not being acquired directly or indirectly by/or on behalf of any person(s) restricted by law of any jurisdiction from acquiring such units and that I/We will not sell, transfer or otherwise dispose of such units directly or indirectly for the account of such persons.
- d** I/We hereby confirm that the money used for the investment into the OAM Umbrella Fund is not arising out of money laundering or other illicit activities.
- e** I/We hereby confirm that OAM the Manager, Trustee, and the Administrator are each hereby authorised and instructed to accept and execute any instructions in respect of the units to which this application relates to, given by me/us in writing, or email. I/We hereby indemnify OAM, the Manager, the Trustee and the Administrator and agree that they are not responsible for any liability, losses or damages resulting from the email or written instructions so provided.
- f** I/We warrant that all information given in this application and in all documents which have been or will be signed by me/us in connection with this application is true and complete.
- g** I/We note that past performance is no indication of future performance.
- h** I/We note that the value of units may go down as well as up as a result of change in the value of the underlying assets and there is no guaranteed return.

## 15 DISCLAIMER

- a** OAM does not accept cash payments. All payments are to be made to the OAM bank accounts and Mpesa Paybill.
- b** OAM does not make payments to 3rd parties. All payments to clients are to be made to the clients registered bank account used during account opening.

## 16 FOR OFFICIAL USE ONLY

Agent Name.  Agent No.  Date.   
Agent Signature.  OAM Approval.